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1-1-1975

# Sums of kth Powers in the Ring of Polynomials With Integer Coefficients

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## Recommended Citation

Chinburg, Ted, and Melvin Henriksen. "Sums of kth powers in the ring of polynomials with integer coefficients." Bulletin of the American Mathematical Society 81 (1975): 107–110. DOI: 10.1090/S0002-9904-1975-13657-3

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## **SUMS OF** *kTH* **POWERS IN THE RING OF POLYNOMIALS WITH INTEGER COEFFICIENTS**

**BY TED CHINBURG AND MELVIN HENRIKSEN<sup>1</sup>**

**Communicated by Robert Fossum, August 1, 1974** 

**Suppose**  $R$  is a ring with identity element and  $k$  is a positive integer. Let  $J(k, R)$  denote the subring of  $R$  generated by its kth powers. If  $Z$ denotes the ring of integers, then  $G(k, R) = {a \in \mathbb{Z} : aR \subset J(k, R)}$  is an **ideal of Z.** 

Let  $Z[x]$  denote the ring of polynomials over Z and suppose  $a \in R$ . Since the map  $p(x) \rightarrow p(a)$  is a homomorphism of  $Z[x]$  into R, the well**known identity (see [3, p. 325])** 

(1) 
$$
k!x = \sum_{i=0}^{k-1} (-1)^{k-1-i} {k-1 \choose i} {(x+i)^{k} - i^{k}}.
$$

in  $Z[x]$  tells us that  $k! \in G(k, Z[x]) \subseteq G(k, R)$ . Since Z is a cyclic group under addition, this shows that  $G(k, R)$  is generated by its minimal positive element, which we denote by  $m(k, R)$ . Abbreviating  $m(k, Z[x])$  by  $m(k)$ , we then have  $m(k, R)|m(k)$  and  $m(k)|k!$ .

**Thus**  $m(k)$  is the smallest positive integer *a* for which there is an **identity of the form** 

(2) 
$$
ax = \sum_{i=1}^{n} a_i [g_i(x)]^k
$$

where  $a_1, \dots, a_n \in \mathbb{Z}$  and  $g_1(x), \dots, g_n(x) \in \mathbb{Z}[x]$ .

On differentiating (2) with respect to *x* we have  $k|m(k)$ . Thus if R **is any ring with identity,** 

(3) 
$$
k|m(k), m(k, R)|m(k), \text{ and } m(k)|k!.
$$

For any  $k \ge 1$  in Z, let  $P_1(k)$  denote the set of primes less than k **that divide** k, and let  $P_2(k)$  denote the set of primes less than k that fail to divide *k*. If *p* is a prime and  $r \ge 1$ ,  $m > 1$  are integers, then a number *ÂMS (MOS) subject classifications* **(1970). Primary 10M05, 10B25, 12C15; Sec-**

**We are indebted to H. Edgar and W. LeVeque for valuable references.** 

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of the form  $(p^{mr} - 1)/(p^r - 1)$  is called a *p-power sum*. We adopt the con**vention that the product of an empty set of integers is 1. The main theorem of this paper is the following.** 

**THEOREM 1.** *If k is a positive integer then* 

$$
m(k) = k \prod \{ p^{\alpha_k(p)} : p \in P_1(k) \} \prod \{ p^{\beta_k(p)} : p \in P_2(k) \}
$$

*where* 

(a) 
$$
\alpha_k(p) = 1
$$
 if p is odd.

(b) 
$$
\alpha_k(2) = \begin{cases} 2 & \text{if } (2^j - 1) \mid k \text{ for some } j \geq 2, \\ 1 & \text{otherwise.} \end{cases}
$$

(c) 
$$
\beta_k(p) = \begin{cases} 1 & \text{if some } p\text{-power-sum divides } k, \\ 0 & \text{otherwise.} \end{cases}
$$

**A proof of this theorem will appear in [2]. Appropriate identities are developed in various homomorphic images of** *Z[x]* **and lifted. Except for (b), these homomorphic images are Galois fields. A constructive but impractical algorithm is developed for obtaining identities of the form (2) with**  $a =$  $m(k)$ . The reader may easily verify the entries in the following table of **values of**  $m(k)/k$  for  $1 \le k \le 20$ .

$k$	1	2	3	4	5	6	7
$m(k)/k$	1	1	2	2 \cdot 3 = 6	2	4 \cdot 3 \cdot 5 = 60	2
$m(k)/k$	2 \cdot 3 \cdot 7 = 42	2 \cdot 3 = 6	2 \cdot 3 \cdot 5 = 30	11	4 \cdot 3 \cdot 5 \cdot 11 = 660		
$k$	13	14	15	16	17	18	
$m(k)/k$	3	4 \cdot 7 \cdot 13 = 364	2 \cdot 3 \cdot 5 = 30	2 \cdot 3 \cdot 7 = 42	2	4 \cdot 3 \cdot 5 \cdot 17 = 1,020	
$k$	19	20	20				
$m(k)/k$	1	2 \cdot 3 \cdot 5 \cdot 19 = 570					

**A** table of values for  $m(k)/k$  for  $1 \le k \le 150$  is supplied in [2] to**gether with an algorithm for computing values of**  $m(k)/k$  **efficiently.** 

If  $\Gamma$  is any set of primes, let  $S(\Gamma)$  denote the multiplicative semigroup generated by  $\Gamma$ . Let  $T(\Gamma)$  denote the set of  $a > 1$  in Z for which **there is a**  $d > 1$  in Z such that  $(a^d - 1)/(a - 1) \in S(\Gamma)$ .

**The next theorem yields some information about the distribution of values of**  $m(k)/k$ . Recall that a prime is called a *Mersenne* (resp. *Fermat*) prime **if**  $p = 2^n - 1$  (resp.  $p = 3$  or  $p = 2^n + 1$ ) for some integer  $n > 1$ .

**THEOREM 2.** *Suppose T is a finite set of primes.* 

(a)  $T(\Gamma)$  is the union of a finite set and  $\{a \in \mathbb{Z} : a > 1 \text{ and } (a + 1) \in \mathbb{Z} \}$ *S*(**T**)}.

**(b)** If  $S(\Gamma)$  contains no even integer, then  $\{a \in T(\Gamma): a \text{ is odd}\}\$ is *finite.* 

(c) If  $2 \notin \Gamma$ , then  ${m(k)/k: k \in S(\Gamma)}$  is bounded. In particular, if  $k > 1$  *is an odd integer, then*  ${m(k^n)/k^n}$  *is a bounded sequence.* 

(d) If  $n > 1$  is an integer, then  $m(2^n)/2^n$  is the product of all the *Mersenne primes less than 2<sup>n</sup>*

(e) If p is a Fermat prime, then  $m(p^n)/p^n = 2p$  for every integer  $n > 1$ .

**A proof of Theorem 2 is given in [2].** 

**We conclude with some remarks and unsolved problems.** 

**(A) P. Bateman and R. M. Stemmler show in [1, p. 152] that if**  $\{p_n\}$ is the sequence of primes such that  $p_n$  is a q-power sum for some prime  $q$ , where  $p_n$  is repeated if it is a q-power sum for more than one prime q, then  $\sum_{n=1}^{\infty} p_n^{-\frac{1}{2}} < \infty$ . Hence such primes are sparsely distributed. Indeed, they state that there are only 814 such primes less than  $1.25 \times 10^{10}$ , and they **exhibit the first 240 of them.** In this range  $31 = (2^6 - 1)/(2 - 1) =$  $(5<sup>3</sup> - 1)/(5 - 1)$  is the only prime that is a *q*-power sum for more than one **prime** *q*. For any prime *p*,  $m(p)/p$  is the product of all primes *q* such that  $p$  is a  $q$ -power sum. It does not seem to be known if there is a positive **integer** N such that  $m(p)/p$  has no more than N prime factors for every **prime** *p.* 

**(B)** Can the sequence  $\{m(k^n)/k^n\}$  be bounded if *k* is even? By Theorem 2 (d),  $\{m(2^n)/2^n\}$  is bounded if and only if there are only finitely many Mersenne primes. What if  $k$  is even and composite?

**(C) By Theorem 2 (c), if T is a finite set of odd primes, then there**  is a smallest positive integer  $M(\Gamma)$  such that  $m(s)/s \leq M(\Gamma)$  for every  $s \in S(\Gamma)$ . By Theorem 2 (e),  $M(\Gamma) = 2p$  if  $\Gamma = \{p\}$  and p is a Fermat **prime, and since**  $(11)^2 = (3^5 - 1)/(3 - 1)$ ,  $M(11) \ge 33$ . Is there a general **method for computing**  $M(\Gamma)$ ? What if  $|\Gamma| = 1$ ?

**(D) It is not difficult to prove that if** *R* **is a ring with identity for**  which there is a homomorphism of *R* onto  $Z[x]$ , then  $m(k, R) = m(k)$ . In particular, if  $\{x_{\alpha}\}\$ is any collection of indeterminates, then  $m(k, Z[\{x_{\alpha}\}])$ **=** *m(k).* 

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