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1-1-1975

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Recommended Citation

Chinburg, Ted, and Melvin Henriksen. "Sums of kth powers in the ring of polynomials with integer coefficients." Bulletin of the American Mathematical Society 81 (1975): 107–110. DOI: 10.1090/S0002-9904-1975-13657-3

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SUMS OF *k* TH POWERS IN THE RING OF POLYNOMIALS WITH INTEGER COEFFICIENTS

BY TED CHINBURG AND MELVIN HENRIKSEN¹

Communicated by Robert Fossum, August 1, 1974

Suppose R is a ring with identity element and k is a positive integer. Let J(k, R) denote the subring of R generated by its kth powers. If Z denotes the ring of integers, then $G(k, R) = \{a \in Z : aR \subset J(k, R)\}$ is an ideal of Z.

Let Z[x] denote the ring of polynomials over Z and suppose $a \in R$. Since the map $p(x) \rightarrow p(a)$ is a homomorphism of Z[x] into R, the wellknown identity (see [3, p. 325])

(1)
$$k!x = \sum_{i=0}^{k-1} (-1)^{k-1-i} \binom{k-1}{i} \{(x+i)^k - i^k\}$$

in Z[x] tells us that $k! \in G(k, Z[x]) \subseteq G(k, R)$. Since Z is a cyclic group under addition, this shows that G(k, R) is generated by its minimal positive element, which we denote by m(k, R). Abbreviating m(k, Z[x]) by m(k), we then have m(k, R)|m(k) and m(k)|k!.

Thus m(k) is the smallest positive integer a for which there is an identity of the form

(2)
$$ax = \sum_{i=1}^{n} a_i [g_i(x)]^k$$

where $a_1, \dots, a_n \in Z$ and $g_1(x), \dots, g_n(x) \in Z[x]$.

On differentiating (2) with respect to x we have k|m(k). Thus if R is any ring with identity,

(3)
$$k|m(k), m(k, R)|m(k), \text{ and } m(k)|k!$$

For any $k \ge 1$ in Z, let $P_1(k)$ denote the set of primes less than k that divide k, and let $P_2(k)$ denote the set of primes less than k that fail to divide k. If p is a prime and $r \ge 1$, m > 1 are integers, then a number AMS (MOS) subject classifications (1970). Primary 10M05, 10B25, 12C15; Sec-

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ondary 13F20. ¹We are indebted to H. Edgar and W. LeVeque for valuable references.

of the form $(p^{mr} - 1)/(p^r - 1)$ is called a *p*-power sum. We adopt the convention that the product of an empty set of integers is 1. The main theorem of this paper is the following.

THEOREM 1. If k is a positive integer then

$$m(k) = k \prod \{ p^{\alpha_k(p)} : p \in \mathcal{P}_1(k) \} \prod \{ p^{\beta_k(p)} : p \in \mathcal{P}_2(k) \}$$

where

(a)
$$\alpha_k(p) = 1$$
 if p is odd.

(b)
$$\alpha_k(2) = \begin{cases} 2 & \text{if } (2^j - 1) | k \text{ for some } j \ge 2, \\ 1 & \text{otherwise.} \end{cases}$$

(c)
$$\beta_k(p) = \begin{cases} 1 & \text{if some } p \text{-power-sum divides } k, \\ 0 & \text{otherwise.} \end{cases}$$

A proof of this theorem will appear in [2]. Appropriate identities are developed in various homomorphic images of Z[x] and lifted. Except for (b), these homomorphic images are Galois fields. A constructive but impractical algorithm is developed for obtaining identities of the form (2) with a = m(k). The reader may easily verify the entries in the following table of values of m(k)/k for $1 \le k \le 20$.

A table of values for m(k)/k for $1 \le k \le 150$ is supplied in [2] together with an algorithm for computing values of m(k)/k efficiently.

If Γ is any set of primes, let $S(\Gamma)$ denote the multiplicative semigroup generated by Γ . Let $T(\Gamma)$ denote the set of a > 1 in Z for which there is a d > 1 in Z such that $(a^d - 1)/(a - 1) \in S(\Gamma)$.

The next theorem yields some information about the distribution of values of m(k)/k. Recall that a prime is called a *Mersenne* (resp. *Fermat*) prime if $p = 2^n - 1$ (resp. p = 3 or $p = 2^n + 1$) for some integer n > 1.

THEOREM 2. Suppose Γ is a finite set of primes.

(a) $T(\Gamma)$ is the union of a finite set and $\{a \in Z : a > 1 \text{ and } (a + 1) \in S(\Gamma)\}$.

(b) If $S(\Gamma)$ contains no even integer, then $\{a \in T(\Gamma): a \text{ is odd}\}$ is finite.

(c) If $2 \notin \Gamma$, then $\{m(k)/k: k \in S(\Gamma)\}$ is bounded. In particular, if k > 1 is an odd integer, then $\{m(k^n)/k^n\}$ is a bounded sequence.

(d) If n > 1 is an integer, then $m(2^n)/2^n$ is the product of all the Mersenne primes less than 2^n .

(e) If p is a Fermat prime, then $m(p^n)/p^n = 2p$ for every integer n > 1.

A proof of Theorem 2 is given in [2].

We conclude with some remarks and unsolved problems.

(A) P. Bateman and R. M. Stemmler show in [1, p. 152] that if $\{p_n\}$ is the sequence of primes such that p_n is a q-power sum for some prime q, where p_n is repeated if it is a q-power sum for more than one prime q, then $\sum_{n=1}^{\infty} p_n^{-\frac{1}{2}} < \infty$. Hence such primes are sparsely distributed. Indeed, they state that there are only 814 such primes less than 1.25×10^{10} , and they exhibit the first 240 of them. In this range $31 = (2^6 - 1)/(2 - 1) = (5^3 - 1)/(5 - 1)$ is the only prime that is a q-power sum for more than one prime q. For any prime p, m(p)/p is the product of all primes q such that p is a q-power sum. It does not seem to be known if there is a positive integer N such that m(p)/p has no more than N prime factors for every prime p.

(B) Can the sequence $\{m(k^n)/k^n\}$ be bounded if k is even? By Theorem 2 (d), $\{m(2^n)/2^n\}$ is bounded if and only if there are only finitely many Mersenne primes. What if k is even and composite?

(C) By Theorem 2 (c), if Γ is a finite set of odd primes, then there is a smallest positive integer $M(\Gamma)$ such that $m(s)/s \leq M(\Gamma)$ for every $s \in S(\Gamma)$. By Theorem 2 (e), $M(\Gamma) = 2p$ if $\Gamma = \{p\}$ and p is a Fermat prime, and since $(11)^2 = (3^5 - 1)/(3 - 1)$, $M(\{11\}) \geq 33$. Is there a general method for computing $M(\Gamma)$? What if $|\Gamma| = 1$?

(D) It is not difficult to prove that if R is a ring with identity for which there is a homomorphism of R onto Z[x], then m(k, R) = m(k). In particular, if $\{x_{\alpha}\}$ is any collection of indeterminates, then $m(k, Z[\{x_{\alpha}\}]) = m(k)$.

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