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MATHEMATICAL NOTES

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MULTIPLICATIVELY PERIODIC RINGS

TED CHINBURG AND MELVIN HENRIKSEN

1. Introduction. A ring R is called *periodic* if for each element a of R there is a positive integer $n(a)$ such that $a^{n(a)+1} = a$. If there is a positive integer n such that $a^{n+1} = a$ for all a in R , then the smallest such n is called the *period* of R , and R is called a *J-ring* (see [7]). It is well known that every periodic ring is commutative [6, Chapter X].

A ring R is called a p^k -ring in [8] if there is a prime p and a positive integer k such that $pa = 0$ and $a^{p^k} = a$ for all a in R . In [7], J. Luh uses Dirichlet's Theorem on primes in an arithmetic progression to show that R is a *J-ring* if and only if it is the direct sum of finitely many p^k -rings. In this note we prove the following generalization of Luh's result without using Dirichlet's theorem:

THEOREM 1. *A ring R is periodic if and only if it is the union of a countable ascending chain $\{R(n)\}$ of *J-rings* such that every *J-ring* contained in R is contained in some $R(n)$. Moreover, each $R(n)$ is the direct sum of finitely many p^k -rings.*

We use Theorem 1 to show that the *J*-subrings of a periodic ring form a lattice with respect to join and intersection (the *join* of two subrings is the smallest subring containing both of them).

After noting that every *J-ring* has nonzero characteristic, we determine for which positive integers n and m there exist *J-rings* of period n and characteristic m . This generalizes a problem posed by G. Wene in [9].

2. A basic lemma. If R is a ring and n is a positive integer, let $\mathcal{A}(R, n) = \{a \in R : na = 0\}$, and for any $a \in R$, let $S(a)$ denote the subring of R generated by a . Some parts of the following lemma are well known but appear in the literature only in the middle of proofs.

LEMMA 1. *Suppose a is a non-zero element of a periodic ring R , p is a prime, n, r and s are positive integers, $a^{n+1} = a$, and $(2a)^{s+1} = 2a$.*

- (a) a^n is the identity element of $S(a)$.
- (b) There is a non-zero square-free integer m , dependent only on n and s , such that $a \in \mathcal{A}(R, m)$.
- (c) If $pa = 0$, there is a positive integer k , dependent only on n and p , such that $a^{p^k} = a$.
- (d) If $pa = 0$, then $S(a)$ is isomorphic to the direct sum of finitely many finite fields of characteristic p .
- (e) If $m = \prod_{i=1}^r p_i$ is the product of finitely many distinct primes p_i , then $\mathcal{A}(R, m)$ is the direct sum $\sum_{i=1}^r \mathcal{A}(R, p_i)$ of the rings $\mathcal{A}(R, p_i)$.
- (f) If $R = \sum_{i=1}^r R_i$, where each R_i is a *J-ring* of period n_i , then R is a *J-ring* whose period is the least common multiple of $\{n_i : i = 1, \dots, r\}$.

Proof. The proof of (a) is left as an exercise.

If $a^{n+1} = a$ and $(2a)^{s+1} = 2a$, then by (a), $2a = (2a)^{s+1} = (2a)^{ns+1} = 2^{ns+1} a^{ns+1} = 2^{ns+1} a$. Hence a has non-zero characteristic m . Since the only nilpotent element of R is 0, m is square free, so (b) holds.

In (c), suppose $n = p^e d$ for some integers $e \geq 0$ and $d \geq 1$ and that $(d, p) = 1$. By the Euler-Fermat Theorem [5, Chapter 6] there is a positive integer k such that $p^k \equiv 1 \pmod{d}$. Then $(p^k - 1)p^e \equiv 0$

(mod n) so $a^{p^{k+s}} = a^{p^s}$ from part (a). Since $pa = 0$ we have

$$(a^{p^k} - a)^{p^s} = a^{p^{k+s}} - a^{p^s} = 0.$$

But R has no nonzero nilpotents, so $a^{p^k} - a = 0$ and (c) holds.

If $pa = 0 \neq a$, then by (a), $S(a)$ is an algebra over the ring Z_p of integers mod p . Since $a^{n+1} - a = 0$, there is a monic polynomial $\phi(x) \in Z_p[x]$ such that $S(a)$ and $Z_p[x]/\phi(x)Z_p[x]$ are isomorphic. Since $S(a)$ has no nonzero nilpotents, $\phi(x) = \prod_{i=1}^r \phi_i(x)$ is a product of distinct irreducible elements $\phi_i(x) \in Z_p[x]$ and

$$Z_p[x]/\phi(x)Z_p[x] = \sum_{i=1}^r \oplus Z_p[x]/\phi_i(x)Z_p[x].$$

But each of these latter direct summands is a finite field, so (d) follows.

Part (e) follows from the well-known fact that every torsion abelian group G may be represented as a direct sum of p -groups [4, p. 21]. Part (f) follows from (a), so the lemma is proved.

3. The proof of Theorem 1 and some consequences. Clearly the union of a chain of periodic rings is periodic, so it suffices to show that every periodic ring has the structure described in Theorem 1.

Let $\{p(i)\}$ denote the sequence of primes in numerical order, and for any positive integers k and r , let $m(k) = \prod_{i=1}^k p(i)$ and $P(r, k) = \{a \in \mathcal{A}(R, p(r)) : a^{p(r)k} = a\}$. Since every periodic ring is commutative, each $P(r, k)$ is a $p(r)^k$ -ring. Let $R(k)$ denote the subring of R generated by $\bigcup_{i=1}^k P(i, k)$. Now, $R(k) \subset \mathcal{A}(R, m(k))$, and by Lemma 1(e), $\mathcal{A}(R, m(k)) = \sum_{i=1}^k \oplus \mathcal{A}(R, p(i))$. Therefore $R(k)$ is isomorphic to $\sum_{i=1}^k \oplus P(i, k)$, and hence is the direct sum of finitely many p^k -rings. Thus, $R(k)$ is a J -ring by Lemma 1(f), and $R(k) \subset R(k+1)$ since $P(i, k) \subset P(i, k+1)$ if $1 \leq i \leq k$.

If n and s are positive integers, let $T(n, s) = \{a \in R : a^{n+1} = a \text{ and } (2a)^{s+1} = 2a\}$. Clearly $\bigcup_{n,s=1}^\infty T(n, s) = R$, and if T is a J -subring of R with period n , then $T \subset T(n, n)$. Hence to complete the proof of Theorem 1, it suffices to show that given n and s , there is a positive integer k for which $T(n, s) \subset R(k)$.

By Lemma 1(b, e), there is a positive integer r such that

$$T(n, s) \subset \mathcal{A}(R, m(r)) = \sum_{i=1}^r \oplus \mathcal{A}(R, p(i)).$$

If $1 \leq i \leq r$, then by Lemma 1(c), there is a positive integer $k^*(i)$ dependent only on $p(i)$ and n such that if $a \in T(n, s) \cap \mathcal{A}(R, p(i))$, then $a^{p(i)k^*(i)} = a$. Hence if $k(i) = \max(i, k^*(i))$, then $T(n, s) \cap \mathcal{A}(R, p(i)) \subset R(k(i))$. We conclude that if $k = \max(k(1), \dots, k(r))$ then $T(n, s) \subset R(k)$, so by our previous remarks Theorem 1 follows.

Clearly the intersection of any two J -subrings of a periodic ring is a J -ring. By Theorem 1, the union of any two J -subrings of R is contained in a J -subring of R , and so their join is a J -subring of R . Hence we have proved

COROLLARY 1. *The J -subrings of a periodic ring R form a lattice with respect to the operations of intersection and join.*

By Theorem 1, every J -ring has finite characteristic. The next theorem describes the relation between the period and the characteristic of a J -ring.

THEOREM 2. *If n and m are positive integers, then there is a J -ring of period n and characteristic m if and only if $m = n = 1$ or $m = \prod_{i=1}^r p(i)$ is a product of distinct primes and n is the least common multiple of $\{p(i)^{k(i,j)} - 1 : i = 1, \dots, r \text{ and } j = 1, \dots, l(i)\}$ for some set of positive integers $\{k(i, j)\}$ and $\{l(i)\}$.*

Proof. Clearly R has characteristic 1 if and only if $R = \{0\}$, so we suppose $m > 1$.

If k is a positive integer and p is a prime, let $\text{GF}[p^k]$ denote the finite field with p^k elements. It is well known (see [1, Chapter 5]) that $\text{GF}[p^k]$ has characteristic p and a cyclic multiplicative group.

Hence $\text{GF}(p^k]$ is a J -ring of period $(p^k - 1)$. Thus if $n, m, \{k(i, j)\}$ and $\{l(i)\}$ are as above and $m > 1$, then $R = \Sigma \oplus \{\text{GF}[p(i)^{k(i, j)}]: i = 1, \dots, r \text{ and } j = 1, \dots, l(i)\}$ is a J -ring of period n and characteristic m by Lemma 1(f).

Conversely suppose $R \neq \{0\}$ is a J -ring of characteristic m and period n . By Theorem 1, $R = \Sigma_{i=1}^r \oplus R(i)$ for some set of $p(i)^{k(i)}$ -rings $R(i) \neq \{0\}$ having periods $n(i)$. Then $n = \text{L.C.M.}\{n(i): i = 1, \dots, r\}$ by Lemma 1(f) and $m = \prod_{i=1}^r p(i)$. If $0 \neq a \in R(i)$ let n_a denote the period of $S(a)$. Clearly $n(i) = \text{L.C.M.}\{n_a: a \in R(i)\}$. By Lemma 1(d, f), $n_a = \text{L.C.M.}\{p(i)^{k(i, j)} - 1: j = 1, \dots, l_a\}$ for some set of positive integers $\{k(i, j): j = 1, \dots, l_a\}$, so Theorem 2 follows.

Suppose n is the period of a J -ring R . In [9], G. Wene calls $n + 1$ the μ -value of R , and asks for which positive integers k there exist J -rings having μ -value k . An answer to this question follows readily from Theorem 2. He also asks the reader to show that there are infinitely many k that are not the μ -value of any J -ring. The following corollary determines when an integer of the form $p^n + 1$ is the μ -value of some J -ring.

COROLLARY 2. *Suppose p is a prime and n is a positive integer. Then p^n is the period of some J -ring if and only if either:*

- (a) p is odd, $n = 1$, and $p = 2^s - 1$ for some positive integer s , or
- (b) $p = 2$, and $2^n + 1$ is a prime or $n = 3$.

Proof of (a). It follows immediately from Theorem 2 that p^n is a period of some J -ring if and only if $p^n = 2^s - 1$ for some positive integer n . In [3, Corollary 2], J. W. Cassells has shown that this equation has a solution if and only if $n = 1$, so (a) follows.

Proof of (b). By Theorem 2, 2^n is a period of some J -ring if and only if $2^n = p^s - 1$ for some odd prime p and positive integer s . By [3, Theorem IV], this equation has a solution if and only if $s = 1$ or $n = 3$, so (b) holds.

Let K denote the set of all positive integers k for which there exist J -rings having μ -value k . It follows from Corollary 2 that $p^n + 1 \in K$ if and only if $n = 1$ and $p = 2^s - 1$ is a Mersenne prime, $p^n + 1 = 9$, or $p^n + 1 = 2^n + 1$ is a Fermat prime. Consequently there are infinitely many integers of the form $p^n + 1$ that are not in K .

A more satisfactory solution of [9] would provide an efficient algorithm for deciding when a given positive integer is in K . It would also be interesting to determine the asymptotic density of K if this density exists.

Theorem 1 reduces the problem of determining the structure of an arbitrary periodic ring to the study of p^k -rings. The structure of such rings is described by R. Arens and I. Kaplansky in [2, pp. 470-477].

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